As the pharmaceutical landscape continues to change and prescription costs rise, clients are looking for ways to manage pharmacy spend while keeping members healthy.

OptumRx® offers utilization management programs that help ensure appropriate medication use and lower overall health care costs.

**Working together to boost safety and savings**

At OptumRx, we understand the challenges clients face as they try to balance prescription benefit costs with providing members access to affordable, clinically proven medications.

That’s why we offer a full suite of utilization management strategies that work together to help ensure members receive clinically effective medications that also make the best use of their pharmacy benefit dollar.

<table>
<thead>
<tr>
<th>Prior authorization</th>
<th>Step therapy</th>
<th>Quantity limits</th>
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<tbody>
<tr>
<td>OptumRx recommends pre-approval for certain medications before they are covered. This process, known as prior authorization, promotes safe and effective medication use. In turn, this helps reduce inappropriate use of medications and keeps pharmacy plan costs in check.</td>
<td>Step therapy requires members to try preferred medications as the initial step in treatment before certain non-preferred medications are covered. Preferred medications, such as low-cost generics included in the program, are widely recognized as clinically safe and effective. Step therapy can lower both client and member costs while still providing access to non-preferred medications.</td>
<td>Quantity limits help ensure safe and appropriate dosing. Our committee of independent doctors and pharmacists meets regularly to review medications, recommend quantity limit guidelines and discuss coverage levels. This helps ensure members get the best results from their medication therapy, while controlling overall health care costs.</td>
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**Utilization management programs**

Utilization management programs are an effective strategy for clients looking to promote clinical quality and maximize savings opportunities. We offer a variety of programs to meet specific client needs.

The **Comprehensive utilization management program** incorporates our full suite of prior authorization and step therapy edits, which are layered on to the Select or Premium formularies. This solution is designed for clients who want to aggressively manage their pharmacy benefit, ensure appropriate medication use and enjoy ingredient cost savings and rebate potential. The program is also available to clients with open benefit design and custom formularies.
The **Focused utilization management program** includes a dedicated suite of bundles with specifically contracted edits layered on to the Select formulary to increase savings opportunities. This strategy is a good choice for clients looking for a less restrictive pharmacy benefit that minimizes member disruption with the same rebate savings as the Comprehensive utilization management program, when all four bundles are implemented. It is also available to clients with open benefit design and custom formularies.

Clients can select from two options:

1. **Flexibility to choose one to four bundles for a higher-controlled plan design**
   - **Diabetes**
     - Blood Glucose Monitoring (Strips and Meters)\(^1\)
     - Basal Insulin
     - DPP4 Inhibitors
     - GLP-1 Agonists\(^1\)
     - SGLT2 Inhibitors
   - **Respiratory**
     - Asthma — SABA\(^1\), Steroid Inhaler\(^1\), Steroid/Beta Combo\(^1\)
     - COPD — Inhalers\(^1\)
     - Cystic Fibrosis\(^1\)
   - **Specialty**
     - Growth Hormones\(^*\)
     - Hepatitis C\(^*\)
     - Immunomodulators\(^*\)
     - Multiple Sclerosis\(^*\)
     - PCSK9 Inhibitors\(^*\)
     - Infertility\(^*\)
   - **Miscellaneous**
     - Inflammatory Bowel Disease
     - Long-Acting Opioids\(^*\)
     - Pancreatic Enzymes
     - Epinephrine Injectors
     - Non Steroidal Anti-Inflammatory Agents
     - Rosacea
     - Topical Acne Treatment
     - Opioid-Induced Constipation\(^1\)

2. **Choose all four bundles for the greatest value**
   - **Diabetes**
   - **Respiratory**
   - **Specialty**
   - **Miscellaneous**

\(^1\)Quantity limits apply to one or more targeted agents within the medication class

The **À la carte utilization management program** allows clients to pick and choose prior authorization, step therapy and quantity limit edits across multiple drug classes within the Comprehensive utilization management program. This approach is designed to meet individual client needs based on unique drug trending and market dynamics. The program offers flexibility and additional ingredient cost savings but does not include rebate potential.

**Proven savings**

Our utilization management programs support clinical decisions that strive for healthier members and result in plan savings that continue to grow. For a client without utilization management programs currently in place, combining the Select formulary with either the full Focused utilization management (all four bundles) or the Comprehensive utilization management program averages a rebate lift between 50–60 percent.

Adding exclusions will also increase savings potential. The Premium formulary offers an enhanced strategy that leverages exclusion capabilities with manufacturers to reduce costs, maintain medication choices and promote lower-cost alternatives. This is a separate strategy not used in combination with the Focused utilization management program.